

**APPLICATION NO. 09/757,444** U.S. DEPARTMENT OF COMMERCE FORM PTO-1449 ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE MASIMO.1FW1C4 INFORMATION DISCLOSURE STATEMENT BY APPLICANT APPLICANT Mohamed Kheir Diab, et al. (ERAL SHEETS IF NECESSARY) FILED **GROUP** 9 JAN 2001 Unknown

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEWARK OFFICE

ATTY. DOCKET NO. MASIMO.1FW1C4 APPLICATION NO.

09/757,444

DISCLOSURE STATEMENT BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

M. Diab et al.

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DATE CONSIDERED

U.S. DEPARTMENT OF COMMERCE FORM PT0-1449 ATTY. DOCKET NO. APPLICATION NO. MASIMO.1FW1C4 09/757,444 APPLICANT INFORMATION DISCLOSURE STATEMENT BY APPLICANT M. Diab et al. (USE SEVERAL SHEETS IF NECESSARY) FILING DATE CHOUP Unknown 01/09/01 U.S. PATENT DOCUMENTS FILING DATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS 8 6 3 2 6 5 9/5/89 Flower et al. 8 6 7 5 7 1 9/19/89 Frick et al. FEB 2 0 2001 8 9 2 1 0 1 1/9/90 Cheung et al. 9 0 7 5 9 4 4 3/13/90 Muz 9 5 5 3 7 9 9/11/90 Hall 6 4 7 2 9 9 3 3/7/72 Lavallee 3 7 0 4 7 0 6 12/5/72 Herczfeld et al. 0 6 3 5 5 1 Sweeney 12/20/77 4 0 8 6 9 1 5/2/78 Kofsky et al. 6/13/78 Nagy FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) 6. Widrow, Bernard, Adaptive Signal Processing, Prentice Hall, Englewood, NJ, Cohen, Arnon, "Volume I: Time and Frequency Domains Analysis", Biomedical 7. Signal Processing, CRC Press, Inc., Boca Raton, FL, pp. 152-159. 8. Severinghaus, J.W., "Pulse Oximetry Uses and Limitations", pp. 104, ASA Convention, New Orleans, 1989. Mook, G.A., et al., "Spectrophotometric Determination of Oxygen Saturation 9. of Blood Independent of the Presence of Indocyanine Green", Cardiovascular Research, Vol. 13, pp. 233-237, 1979. EXAMINER DATE CONSIDERED EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT M. Diab et al. (USE SEVERAL SHEETS IF NECESSARY)

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U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

APPLICATION NO. 09/757,444

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FORM PTO-1449

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT Diab et al.

ATTY. DOCKET NO.

MASIMO.1FW1C4

FILING DATE 01/09/01 GROUP Unknown

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APPLICATION NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. PATENT AND TRADEMARK DEFICE MASIMO.1FW1C4 09/757,444 INFORMATION DISCLOSURE STATEMENT BY APPLICANT **APPLICANT** JUN 0 7 2002 Diab et al. (USE SEVERAL SHEETS IF NEC GROUP FILING DATE January 9, 2001 3736

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	9.	Yelderman, Mark, et al., "Sodium Nitroprusside Infusion by Adaptive Control", Adaptive Control by inverse Modeling, Conference Record: 12 <sup>th</sup> Asilosor Conference 90 (1978)									
	10.	Findings of Fat and Conclusions of Law Regarding Masimo's Motion for Preliminary Injunction, signed by District Court Judge on November 2, 2000.									
	11.	Nellcor's Third Amended Complaint for Patent Infringement.									
	12.	Nellcor's and Mallinckrodt's Amended and Supplemental Answer and Counterclaims to Masimo Corporation's First Amended Complaint in Case No. CV-01-7293-MRP (AJWx).									
In	13.	Nellcor's and Mallinckrodt's Amended and Supplemental Answer and Counterclaims to Masimo Corporation's First Amended Complaint in Case No. CV-01-7292 MRP (AJWx).									

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(USE SEVERAL SHEETS IF TESSARY)	FILING DATE January 9, 2001	GROUP 3736

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5~	14.	Nellcor's and Mallinckrodt's First Amended and Supplemental Reply and Counterclaims to Counterclaims of Masimo Corporation.

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